Order form using the XONTRO OTC system connect
via SAKI by trade issuer

BrainTrade GmbH
Börsenplatz 4 | 60313 Frankfurt am Main / Germany
Fax: +49-69-211-608052 | Phone: +49-69-589978-110 | Mail: trade@xontro.de

---

☐ Please **setup** the following CBF Account Number(s) of our institute for the option of transferring OTC trades using the system connect.

☐ Please **delete** the following CBF Account Number(s) of our institute for the option of transferring OTC trades using the system connect.

CBF Account Number(s)

☐ Please **setup** the following CBF Account Number(s) of our **partner institute** for the option of transferring OTC trades using our system connect.

☐ Please **delete** the following CBF Account Number(s) of our **partner institute** for the option of transferring OTC trades using our system connect.

CBF Account Number(s)

Launch date desired**

IMS29 (test)		IMS27 (simulation)		IMS1 (production)	

In case of setup this chapter is mandatory to be filled and signed.
We know that we have to pay the cost of 0.03 EUR for each OTC trade which will be charged. We accept these conditions. Please send the invoices to the following accounting office.

Institute		**Partner institute***	
Company name		Company name	
Street address		Street address	
Zip code / City		Zip code / City	
Contact person		Contact person	
Phone number		Phone number	
Email address		Email address	

☐ Institute is invoice recipient   ☐ Partner institute is invoice recipient

Signature _____   Signature _____

City [   ]   Date [   ]   City [   ]   Date [   ]

*Only to complete if OTC trades will be entered by a partner institute. In this case fill in page two, too.
**New requests are usually activated on mondays; please consider setup time of approx. one week.

Detailed information on privacy protection can be found at www.xontro.de.

Proof of authority to enter OTC trades as a trade issuer

We declare that the order giver with CBF Account Number

Company name	
Street address	
Zip code / City	
Country	
Contact person	
Phone number	
Email address	

is authorized as an trade issuer on our behalf for the following CBF Account Number(s)

---

to enter OTC trades (direct trades) via MT511 (SWIFT) resp. msgType010 (FIX - Enter OTC). This authorization is valid for trades with counterparty of the same exchange location as well as other exchange locations.

We are well aware:
- By entering trades on our behalf in consequence of the named trade issuer new trade confirmations (MT512 resp. msgType180 - SNO realtime) will be generated.
- On these trade confirmations our CBF-Number will be published as the issuer of the trade (tag 72, line 1, on MT512 resp. tag 448) as an on-behalf entry, as well as the counterparties address.
- These trade confirmations include the identification mark SAKIF/ resp. FIXF/ in the description field.
- Incidentally, for these trade confirmations the same content and parameter values are valid as for BxEG online generated trade confirmations.

We will check all trade confirmations produced as an trade issuer as carefully as all other trade confirmations.
We selected our order giver very carefully, further on we are responsible for their mistrades.
We know well that BrainTrade Gesellschaft für Börsensysteme mbH will not check our selection of the trade issuer. They are not liable for trade issuers defects.

Institute whose name OTC trades will be entered

Company name	
Street address	
Zip code / City	
Country	
Contact person	
Phone number	
Email address	

Signature _____

City [_____] Date [_____]

Detailed information on privacy protection can be found at www.xontro.de.